

11/27/00
JC912 U.S. PTO

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Please type a plus sign (+) inside this box → +

PTO/SB/05 (1/98)

Approved for use through 9/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	583-1042
First Inventor or Application Identifier	Davies et al.
Title	COMMUNICATION SYSTEM, APPARATUS AND METHOD
Express Mail Label No.	EL 700 384 199 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application

1. *Fee Transmittal Form (e.g., PTO/SB/17)
2. Specification [Total Pages 38]
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
4. Oath or Declaration [Total Pages]
- Newly executed (original or copy)
 - Copy from a prior application (37 C.F.R. 1.63(d))
- [Note Box 5 below]*
- DELETION OF INVENTOR(S)
inventor(s) named in the prior application.
5. Incorporation By Reference (*usable if Box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. Microfiche Computer Program (*Appendix*)
7. Nucleotide and/or Amino Acid Sequence Submission
(*if applicable, all necessary*)
- Computer Readable Copy
 - Paper Copy (identical to computer copy)
 - Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & document(s))
9. 37 C.F.R. 3.73(b) Statement Power of Attorney
(*when there is an assignee*)
10. English Translation Document (*if applicable*)
11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
12. Preliminary Amendment
13. Return Receipt Postcard (MPEP 503)
(*Should be specifically itemized*)
14. Small Entity Statement(s) Statement filed in prior application,
(*PTO/SB/09-12*) Status still proper and desired
15. Certified Copy of Priority Document(s)
(*if foreign priority is claimed*)
16. Other:

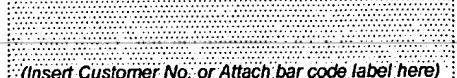
* A new statement is required to be entitled to pay small entity fees, except

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: /

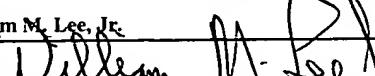
Prior application information: Examiner _____ Group / Art Unit _____

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 
(Insert Customer No. or Attach bar code label here)

or Correspondence address below

Name	William M. Lee, Jr.		
	Lee, Mann, Smith, McWilliams, Sweeney & Ohlson		
Address	PO Box 2786		
City	Chicago	State	IL
Country	USA	Telephone	312-368-1300
Zip Code	60690-2786		
Fax	312-368-0034		

Name (Print/Type)	William M. Lee, Jr.	Registration No. (Attorney/Agent)	26,935
Signature		Date	November 27, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1 6 4 4)

Complete if Known	
Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Elwyn Davies
Examiner Name	
Group Art Unit	
Attorney Docket No.	583-1042

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
 Deposit Account Number **12-0913**
 Deposit Account Name _____
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27
2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)				710	

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
23	: -20** = 3	x 18 =	54
14	- 3** = 11	x 8.0 =	88.0
Multiple Dependent			

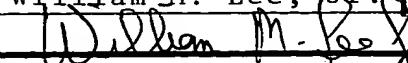
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)				934

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)	0 . 0 0

Complete (if applicable)

SUBMITTED BY	Complete (if applicable)		
Name (Print/Type)	William M. Lee, Jr.	Registration No. (Attorney/Agent)	26,935
Signature		Telephone	12-368-1300
		Date	Nov. 27, 2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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WJR

Case No.: 583-1042

(Hepworth et al)

COMMUNICATION SYSTEM,
APPARATUS AND METHOD
THEREFOR

Please acknowledge receipt of the enclosed:

Serial No.: To Be Assigned

Filing Date: Herewith

Express mail mailing certificate,
Utility Patent Application Transmittal,
Fee Transmittal for FY 2001,
38 page specification including 23 claims,
9 pages of drawings,
check no. 42232 for \$1,644.00,
return postcard.

Due Date (If Any):

Date Sent: November 27, 2000 kk

"Express Mail" mailing label number

EL 700 384 199 US

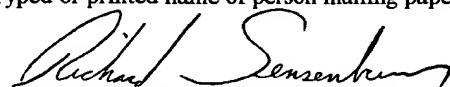
Declaration to follow

Date of deposit: November 27, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Richard Sensenbrenner

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)